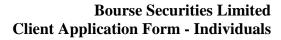
Bourse Securities Limited Client Application Form - Individuals

DATE://_ DD/MM/YY	CLIENT	RELATIONSHIP OFFICER:	[] New accoun	it
BOURSE ACCOUNT N	UMBER:	INTERNATIONAL CUSTODIA	N ACCOUNT NUMBER	:
Account Registrati	<u>on</u>			
1 Applicant Informat	tion			
Name:				
Residential Address: _.				
Mailing Address (<i>if di</i> Gender: [] Male [] Fe		e): Marital Status: [] Single []	Married [] Other	
Number of depender	its: Count	try of Citizenship:	Date of Birth:	
Place of Birth:	Coun	try of Residence:	Occupation:	
Home Tel No:	Mobile N	No: E-Mail Add	dress:	
	m \$ to \$	Do you have additional		
[] over TT Employer: Type of Business of E Business Address:	\$5,000,000 mployer (or forme	\$500,001 - TT\$1,000,000 [] T er employer):	ears Employed:	
ID Type	Country of Iss		Date of Issue	Date of
] Drivers License		Number		Expiry
] National ID				
] Passport				
] Other				
	mation			
2 Applicant Tax Info	for tax purposes?	payer Identification Number)	[] Yes	
	for tax purposes? your U.S TIN (Taxp	payer Identification Number)		

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6 Gallus Street, Woodbrook, Port of Spain
Corner Keate and Harris Streets, San Fernando
Email: invest@boursefinancial.com | website: www.bourseinvestment.com





2. Background Information

Are you a Politically Exposed Pe	erson?		YES	NO
If yes, state the office held and pe	riod in office:			
Are you related to, or a close a	ssociate of Politically Expo	osed Person?	YES	NO
If yes, state the individual and whi	ch office:			
Are you, as an individual or as placed company?	part of a group, a >= 5% s	hareholder in a publicly traded	YES	NO
If yes, state the Company:				
Are you a Director, Senior Office	er or Insider of any public	cly traded company?	YES	NO
If yes, state the Position held and	the Company:			
Are you an employee or officer	of any broker dealer or F	Regulator?	YES	NO
If yes, state the position held & th	e regulatory body or broker	dealer:		
Are you related to an employed	e or officer of a broker de	aler or Regulator?	YES	NO
If yes, state the Position, the relati	on and the regulatory body	/stockbroker/dealer		-1
Have you ever been disciplined securities?	by a regulatory body in r	elation to the trading of	YES	NO
If yes, state the jurisdiction, the di	sciplinary action faced:			
Have you ever been charged or	convicted for Fraud or N	1oney Laundering?	YES	NO
If yes, state the offence committee	d and the period of charge o	or conviction:		
Are you the holder of a benefic	ial interest in a Casino or	operate a Casino account?	YES	NO
If yes, state the name of the Casin	0:			
3. Additional Investor Informa	tion:			
a. Investment Objectives: [] Long Term Growth [] Asset Diversification [] Income [] Short Term Growth	b. Risk Exposure: [] Low [] Speculation [] Moderate [] High	c. Estimated amount of funds for investment Purposes per (USD): [] Less than \$50,000 [] \$50,001 - \$100,000 [] \$100,001 - \$500,000 [] \$500,001 - \$1,000,000 [] over \$1,000,000		-
Liquidity Needs	edge and Experience [] Extensive [] None [] Low			



Other:

Bourse Securities Limited Client Application Form - Individuals

[] None

Since Year:

Investment Experience (continued)

[] Limited

Knowledge and Experience by Investment Type Investment Investment Investment Knowledge Experience **Equities** [] Limited [] Moderate [] Extensive [] None Since Year: Options [] Limited [] Moderate [] Extensive [] None Since Year: Fixed Income [] Limited [] Moderate [] Extensive [] None Since Year: [] Limited [] Extensive [] None **Mutual Funds** [] Moderate Since Year: **Exchange Traded** Since Year: [] Limited [] Moderate [] Extensive [] None Funds Commodities [] Limited [] None [] Moderate [] Extensive Since Year:

[] Extensive

[] Moderate

5. Source of Funds: What is the source of funds for this account? Please provide details Account Instructions:	in the space provided belo	ow.
	in the space provided belo	ow.
Account Instructions:		
How will instructions be issued to BOURSE on your behalf?		
[] Telephone [] Email [] Face to Face Office [] Other:		
If other, Please specify		
. How did you learn about Bourse? [] Bourse Report [] Facebook	[] Reference [] Other	
Please specify		
Other Information (To be completed by the Customer Relationship	p Officer)	-
Other Information (To be completed by the Customer Relationship	p Officer)	_
	p Officer)	
	p Officer)	
Applicant Signature	p Officer)	
Applicant Signature WITNESS NAME WITNESS SIGNATURE		
Applicant Signature WITNESS NAME WITNESS SIGNATURE OFFICIAL USE ONLY DOCUMENTS COLLECTED AS REQUIRED UNDER BOURSE DUE DILIGENCE PROCEDURES		
Applicant Signature WITNESS NAME WITNESS SIGNATURE OFFICIAL USE ONLY DOCUMENTS COLLECTED AS REQUIRED UNDER BOURSE DUE DILIGENCE PROCEDURES CRO SIGNATURE: AUTHORIZED BY:	S [] YES [] NC	
Applicant Signature WITNESS NAME WITNESS SIGNATURE OFFICIAL USE ONLY DOCUMENTS COLLECTED AS REQUIRED UNDER BOURSE DUE DILIGENCE PROCEDURES CRO SIGNATURE:	S []YES []NC	