



Bourse Securities Limited

96 Maraval Road, Port of Spain. Tel: 628-9100 Fax: 622-1603
27 Independence Avenue, San Fernando.
2nd Floor, 23 Mulchan Seuchan Road, Chaguanas
E-mail: admin@boursefinancial.com
www.bourseinvestment.com

CLIENT APPLICATION FORM

2. ADDITIONAL INVESTOR INFORMATION:

a. Investment Objectives:

- Long Term Growth
- Asset Diversification
- Income
- Short Term Growth

c. Estimated amount of funds available for investment Purposes per annum:

- Less than \$50,000 \$50,001 - \$100,000
- \$100,001 - \$500,000 \$500,001 - \$1,000,000
- over \$1,000,000

b. Risk Exposure:

- Low Speculation
- Moderate High

d. Estimated Gross Annual Household Income

- Under \$250,000 \$250,001 - \$500,000
- \$500,001 - \$750,000
- \$750,001 - \$1,000,000 over \$1,000,000

3. CLIENT RISK TOLERANCE:

a. Expected Return: What is your desired level of investment return? Please explain:

b. Can you accept occasional periods of negative investment returns? YES NO

c. Liquidity: Would you need to be able to readily convert investments into cash YES NO

d. Income Source: My major source of income is predictable and steadily growing YES NO

e. Investment experience: Do you have prior investment experience with equities and debt Instruments. Please explain to what extent _____

f. Do you understand the concept of investment risk? YES NO

4. SOURCE OF FUNDS:

What is the source of funds for this account? Please specify in each case.

- Sale of Property: _____ Savings _____ Mutual Funds _____
- Insurance Proceeds _____ other _____

5. ACCOUNT INSTRUCTIONS:

How will instructions be issued to BOURSE on your behalf?

- Telephone
- Email
- Face to Face Office
- Other _____



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6. DECLARATION

I/We the undersigned applicant(s) for an account with BOURSE declare that the statements made by me/us on this form are, to the best of my/our knowledge and belief, true and correct.

I/We agree to BOURSE processing my/our personal data contained in this form and other personal data that BOURSE may obtain from me/us or from other people or entities connected with my financial affairs.

I/We declare that the funds and securities covered by this application came from a legal source and are not connected to money laundering, terrorist activities, drug trafficking and other criminal offences.

In consideration of BOURSE opening an account for me/us, I/We promise to conduct such account in accordance with the agreed guidelines and the law and to check and verify each account statement received and to notify BOURSE forthwith of any errors in volume, type and value of securities that may have been wrongly or erroneously credited to my/our account.

I/We declare that I am/We are aware that should I/We dispose of, sell, transfer, or pledge any securities that have been wrongly or erroneously credited to my/our account, I/We may be liable to criminal prosecution and will be required to make full restitution to BOURSE.

I/We declare that I/We have read and understood the aforementioned declarations and consent is hereby given to BOURSE, to retain this form, conduct its normal investigations on me/us, and to seek and receive information from any person, agency, entity or financial institution holding or possessing any such information on me/us.

I/We acknowledge that BOURSE reserves the right to close or suspend an account for any reason in its discretion including if BOURSE determines that information provided for the purpose of the account is false or if in its opinion, there is unusual, improper or suspicious activity in the account or other activity which it deems is in breach of the law or the terms of the agreement that I/We have signed.

SOLE or primary applicant

First Joint Holder

Second Joint Holder

WITNESS NAME

WITNESS SIGNATURE

AUTHORIZED BY: _____ **ON** _____
BLOCK LETTERS **DD/MM/YYYY**

SIGNATURE